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Dkt.0575/51902-A-PCT-US/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Taka-Aki Sato  
U.S. Serial No. : 09/809,920  
Filed : March 16, 2001  
For : TREX, A NOVEL GENE OF TRAF-INTERACTING  
EXT GENE FAMILY AND DIAGNOSTIC AND  
THERAPEUTIC USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
October 26, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

COMMUNICATION IN RESPONSE TO AUGUST 27, 2001 NOTICE TO COMPLY  
WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

This Communication is submitted in response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures issued August 27, 2001 by the United States Patent and Trademark Office in connection with the above-identified application. A response to the August 27, 2001 Notice is due October 27, 2001. Accordingly, this Communication is being timely filed.

Applicants attach a copy of the Notice hereto as **Exhibit A**. The Notice indicates that the application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written Sequence Listing and where applicable includes no new matter, as required by 37 C.F.R. §1.821(e), §1.821(f), §1.821(g), §1.825(b) or §1.825(d). The Notice also indicates that a copy of the Sequence Listing in computer readable form has not been submitted as required by 37 C.F.R. §1.821(e).

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The Sequence Listing in the subject application is identical to the Sequence Listing in the parent of the subject application, namely application No. PCT/US99/21654, filed September 17, 1999. Applicant attaches hereto as **Exhibit B** a Statement of Compliance under 37 C.F.R. §1.821(f), which was filed with the computer readable form of the Sequence Listing in application No. PCT/US99/21654 on September 17, 1999. In accordance with 37 C.F.R. 1.821 (e), please use the computer readable form filed in application No. PCT/US99/21654 on September 17, 1999 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing (32 pages) was included in the originally filed specification of the instant application.

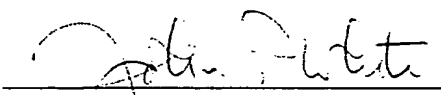
If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invite the Examiner to telephone him at the number provided below.

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No fee is deemed necessary in connection with the filing of this Communication. However, if a fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
	10/26/01
John P. White	Date
Reg. No. 28,678	

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,920	03/16/2001	Taka-Aki Sato	51902-A-PCT-US / JPW/GJ

Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

CONFIRMATION NO. 8743

## FORMALITIES LETTER



\*OC00000006479113\*

Date Mailed: 08/27/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

*Feb*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE